



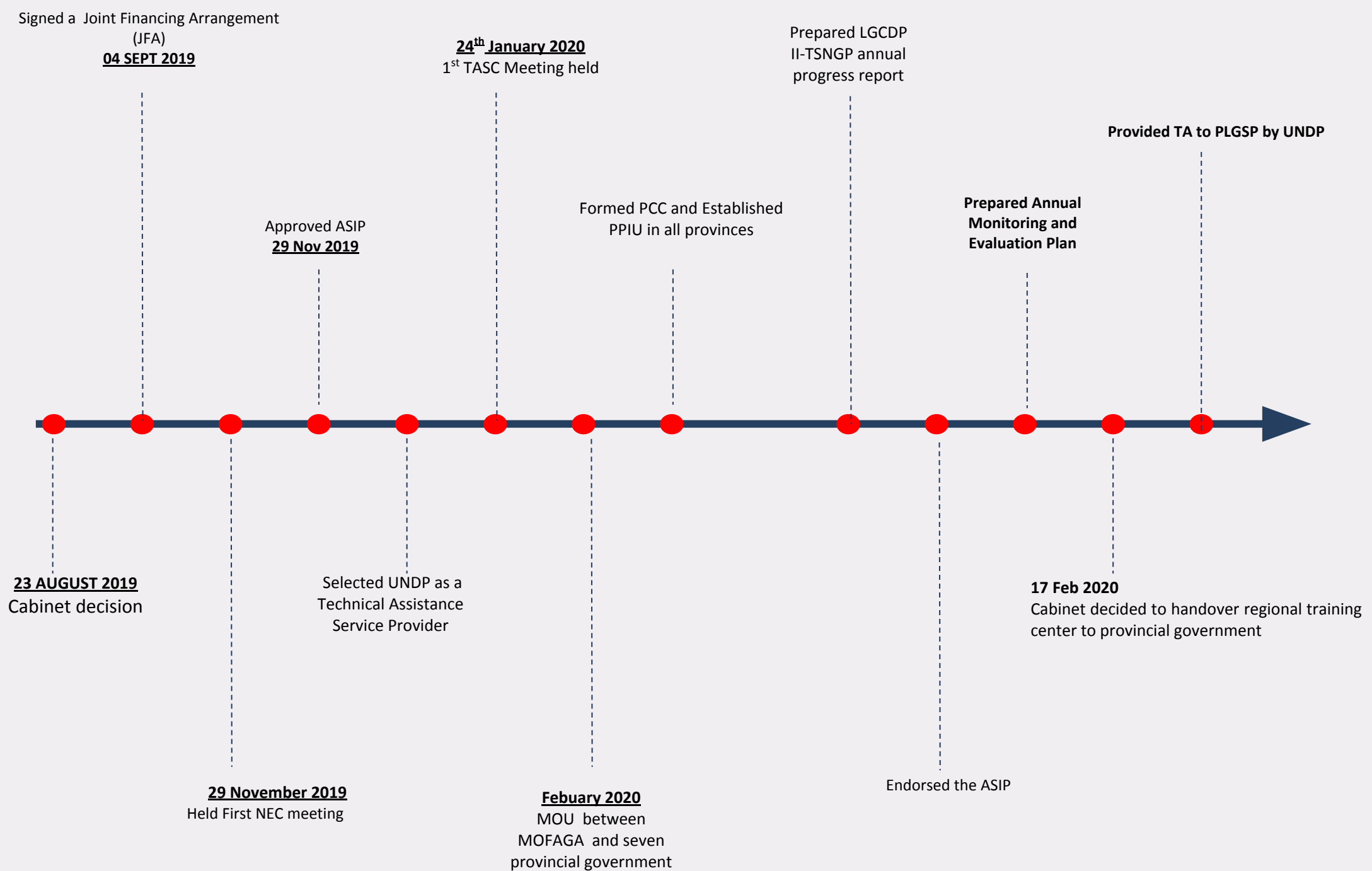
MOFAGA/ PLGSP
Ministry of Federal Affairs and General Administration
Provincial and Local Governance Support Program

National Executive Committee Meeting

PLGSP PROGRESS UPDATE **(As of May 2020)**



10 JUNE 2020



PROGRESS

HIGHLIGHTS ON ANNUAL STRATEGIC IMPLEMENTATION PLAN

OUTLINE OF PRESENTATION

- 1. General overview of PLGSP**
- 2. Highlights on PLGSP – Annual Strategic Implementation Plan 2020/21**
 - a. Guiding principle**
 - b. Implementation mechanism**
 - c. Steps Followed in ASIP Preparation**
 - d. Strategies & key priorities**
- 3. Major Activities and Milestones by Outcomes and Outputs**
- 4. Quality Assurance (learning, documentation and M&E)**
- 5. Scope of TA**
- 6. Risk and mitigation measures**
- 7. Budget**

Guiding Principles

- Facilitate cooperative form of federal system through policy and legislation support,
- Reinforce inter-governmental mechanisms and facilitate provincial and local governments in the exercise of devolution,
- Promote accountability to citizens in governance process,
- Mainstreaming GESI for addressing unequal power relations and to promote transformative change,
- Pursue a demand responsive and participatory approach,
- Promote adaptability, flexibility and accountability in reference to COVID pandemic.

Implementation Mechanism

- NSC – National Steering Committee (Chaired by Hon’ble Minister of MoFAGA)
- NEC- National Executive Committee (Chaired by MoFAGA Secretary)
- PCC- Provincial Coordination Committee
- PCU – Program Coordination Unit
- PPIU – Provincial Program Implementation Unit
- PCGG – Provincial Center for Good Governance
- TASC – Technical Assistance Sub Committee
- TASP - Technical Assistance service provider

Steps Followed in ASIP Preparation

- Review of ASIP 2019/20
- Followed a fully bottom-up process in assessing and prioritizing the needs and activities of the PLGs,
- Ensured, (PASIP) are prepared through a consultative process
- Review and reflection on the impact of COVID-19 on the PLGs and the PLGSP.
- Review and integration of PASIPs forwarded by the OCMCM
- Consulted with senior officials of MoFAGA, OCMCM, FCGO and other relevant agencies
- Consulted with DPs for coherent and consistence

Strategies

- Ensure that the programme is responsive to the demands and priorities of the PLGs.
- Actively involve all relevant ministries and entities at the federal
- Institutionalize the mechanism to coordinate and collaborate between PCU, PPIU and PCGGs, Federal Ministries, LG associations etc. and DPs.
- Ensure coordination and harmonization with all DPs working on sub-national governance.
- Mobilize volunteers and experts to provide technical support through online trainings, workshops, and orientations to PLGs
- Follow adaptability and flexibility in reference to the COVID-19 pandemic.

Key priorities (among others)

- Make PCGGs functional in all provinces
- Organize trainings and orientations (virtually or in-person) for elected representatives and staff
- Mainstream GESI in PLGs, by supporting them to prepare GESI strategies and conduct budget audits from a GESI lens.
- Organize a dedicated training for elected representatives representing Women, Madheshi, Muslim, Dalit, Ethnic minorities and other disadvantaged groups
- Establish institutions and operating guidelines on the Innovative Partnership Fund (IPF)
- Learning and knowledge management, establishing baseline for PLGS, third party monitoring, documentation of success cases and communications

HIGHLIGHTS ON ASIP

Major Activities and Milestones by Outcomes and Outputs

Output 1: Federal level institutions develop legislation and policies to support PLGs in a consultative manner.

Activities

- 1.1 Formulate model regulation and operational guidelines in support of provincial and local governments.
- 1.2 Assess the existing Acts, regulation and guidelines of local government
- 1.3 Formulate communication policy for provincial and local governments

Milestone

- Prepared and disseminated 8 model regulation and 4 operational guidelines of provincial and local governments
- Reviewed 21 acts, regulations and operational guidelines and provided feedback to LGs
- Developed communication strategy for provincial and local governments (2 in # - 1 each for PG and LG)

Output 2: Federal level institutions develop tools and systems to support Provincial and Local Governments in a consultative process

● Activities

- Prepare model fiduciary risks reduction action plan of provincial and local governments
- Develop planning and monitoring software/ guideline for LG
- Develop training standardization tools for quality control
- Develop CD software for PCGG in the area of drafting necessary rules, regulations, guidelines, SOPs, curricula and developing integrated reporting system etc.
- Review / prepare training modules

Milestone

- Prepared Fiduciary Risks Reduction Action Plan of provincial and local governments (2 in #- 1 each for PG and LG)
- Developed planning and monitoring software/ guideline for LG and piloted in 35 LGs
- Developed training standardization tools for quality control
- Developed and operationalized capacity development software in PCGG
- Reviewed / Prepared 6 types of training modules

Output 2: Federal level institutions develop tools and systems to support Provincial and Local Governments in a consultative process

● Activities

- Develop communication package of PLGSP including social media for wider sharing and communication
- Study on local level spatial distribution/supply chain
- Conduct mapping of donor support in the area of federal governance and figure out the coordination strategy among diverse actors working in this area

Milestone

- Developed communication package for PLGSP
- Completed study on local level spatial distribution / supply chain
- Prepared donor support mapping

Output 2: Federal level institutions develop tools and systems to support Provincial and Local Governments in a consultative process

Activities

- Prepare operational guidelines on public expenditure management and standard for local government
- Review and revise LISA guidelines
- Conduct stock taking of tools and products prepared/used by government and DPs
- Organize MToT on MTEF for resource person at province level
- Prepare audit arrear clearance policy

Milestone

- Prepared operational guideline on public expenditure management for local government
- Revised LISA guideline
- Completed stock taking of tools and products developed by DPs
- Trained 175 person on MTOT on MTEF for resource person in province
- Prepared audit arrears clearance policy

Output 3: Inter Government administrative mechanisms strengthened and functional

● Activities

- Conduct policy analysis to implement effectively decisions of the Inter-Provincial Coordination Council meetings.
- Develop intergovernmental coordination and collaboration strategy and procedure
- Organize National Steering Committee (NSC), National Executive Committee (NEC), Fiduciary Risk Management, Technical Assistance Sub Committee (TASC)

Milestone

- Prepared on policy analysis of decisions of the Inter -Provincial Coordination Council meetings
- Prepared Intergovernmental coordination and collaboration strategy and procedure (2 in #)
- Organized NSC, NEC, fiduciary risk management, TASC and consultative meetings with federal agencies and disseminated meeting

Output 3: Inter Government administrative mechanisms strengthened and functional

● Activities

- Conduct policy analysis to implement effectively decisions of the Provincial Coordination Council meetings
- Organize consultative workshop at province level with officials of provincial and local governments
- Organize seminar on IT and PFM

Milestone

- Prepared report on policy analysis of decisions of the Provincial Coordination Council meetings
- Organized at least consultative workshop in all provinces (at least 7)
- Organized two seminars on IT and PFM

Output 4: Provincial governments drafted legislation in a consultative manner

Activities

- Review and revise existing Acts, regulations and guidelines of PG.
- Law Identification and prioritization workshop
- Follow-up/ training on law/act formulation

Milestone

- Formulated 12 laws, 3 acts, 3 regulations in PGs,
- Organized workshop on law identification
- Organized one event of interaction in PG

Output 4: Provincial governments drafted legislation in a consultative manner

Activities

- Review existing Acts and regulations prepared by LGs and provide necessary feedback for improvement
- Draft module Acts/Regulations of LGs in a consultative manner

Milestone

- Drafted at least 5 laws for LG in two Provinces
- Reviewed existing laws for LGs on needs

Output 5: Modernized PG systems enable horizontal and vertical accountability to all citizens and mainstream GESI

Activities

- Mainstream GESI in provincial governments (PGs)
- Organize orientation on GRB formulation, implementation, monitoring and evaluation in PG ministries and offices
- Support and conduct Provincial Coordination Council meeting/flow-up, monitoring

Milestone

- Organized GESI audit and mainstreamed GESI in all 7 PGs
- Orientated all PG ministries and offices on GRB
- Conducted PCC meeting regularly and decisions are implemented

Output 5: Modernized PG systems enable horizontal and vertical accountability to all citizens and mainstream GESI

Activities

- Develop monitoring mechanism for internal control system in PG
- Public hearing /public auditing & application of different tools of transparency, accountability and good governance in PG
- Organize periodic press meet, TV program, publication for updating citizens about work performed by provincial ministries and PA

Milestone

- Developed monitoring mechanism in PG
- Completed Public hearing/interaction in 7 provinces
- Developed and aired Weekly TV/Radio programs developed in PGs

Output 6: PGs manage provincial public administration functions more effectively

Activities

- Develop IT based management system in OCMCMs
- Strengthen public financial management system of PGs
- Update website of PGs
- Improve public service delivery management system

Milestone

- Developed and implemented IT based management system in OCMCMs
- Improved public financial management system in PGs
- Updated Websites in PGs
- Improved public service delivery system in PG

Output 6: PGs manage provincial public administration functions more effectively

● Activities

- Introduce E-attendance systems with required equipment's and guidelines for PGs
- Provincial Civil Service Information system established and operationalized
- Strengthen Planning and Budgeting System in PG

Milestone

- Introduced and used E-attendance system in 7 provincial ministries
- Operationalized PIS system in PG
- i) Improved Planning and Budgeting System in PG;
li) prepared strategy/policy paper on strategic important areas in consultation with stakeholders in PG

Output 7: PCGGs made operational to deliver CD service

Activities

- Renovate/reconstruct physical infrastructure of Provincial Center for Good Governance (PCGG)/ Local Development Training Center (LDTC)

Milestone

- PCGG functional in 7 provinces.

Output 7: PCGGs made operational to deliver CD service

Updates on PCGG Establishment & Functioning			
Agency	Responsibility	Progress	Remarks
GON	•Transfer of Regional Training Center	•Handover February 27 2020	
MoFAGA	•Restructure LDTA •Backstopping Support by drafting model laws and rules	•New act in formulation process •Backstopping support by drafting model laws	
Gandaki Province	Make necessary law Recruit ED /experts	•Law passed •ED recruited, experts hiring in process	Training will be conducted from July
Other 6 Provinces	Make necessary law Recruit ED /experts	Will be done by July 2020	

Output 8: Modernized LGs have strong administrative systems and accountable public financial management (PFM) system

Activities

- Strengthen information management system of LGs
- Introduce SUTRA in local governments
- Prepare Revenue Improvement Action Plan (RIAP) of LGs
- Prepare CD plan of LGs
- Introduce LISA in local governments
- Prepare periodic plan of LG

Milestone

- Digital database established in 753 LGs
- 753 LGs submitted electronic trimester financial report to FCGO.
- 300 LGs prepared RIAP.
- 152 LGs Prepared their CD plan
- 753 LGs conducted LISA and made public LISA report.
- 47 LGs prepared Periodic Plan.

Output 8: Modernized LGs have strong administrative systems and accountable public financial management (PFM) system

Activities

- Orientation to Revenue Advisory Committee (RAC) members of LGs
- Implement Internal Control Guidelines in local governments (coaching & mentoring)
- Establish Disaster (COVID-19) MIS at LGs
- Organize local level audit arrear settlement facilitation workshop

Milestone

- 480 LGs RAC members and officials oriented on RIAP
- 45 local governments adopted Internal Control Guidelines
- 79 LGs established and operationalized Disaster MIS
- Workshop organized in 7 province on audit arrear settlement

Output 9: LG systems enable horizontal and vertical accountability to all citizens

● Activities

- Introduce accountability mechanism in local governments
- Develop horizontal and vertical accountability mechanism for local government
- Coaching and mentoring for the implementation of internal control guideline in LGs through mobilization of experts

Milestone

- 300 LGs conducted public hearing and public audit
- A horizontal and vertical accountability mechanism for local government developed
- XX officials of 12 LGs coached/mentored in internal control guideline

Output 10: LG systems mainstream GESI in their service delivery

Activities

- Mainstream GESI in local government
- Conduct GESI audit of LG

Milestone

- 753 LGs mainstream GESI
- 350 LGs conducted GESI budget audit

Output 11: LG systems enable citizen engagement and inclusive participation

Activities

- Document and disseminate good practices adopted by provincial and local governments
- Orient & Introduce Accountability tools (Public Auditing, Public Hearing and Social Audit

Milestone

- Produced and disseminated good practices on service delivery of provincial and local governments (6)
- Conducted public hearing, public auditing and social audit in 156 LGs

Output 11: LG systems enable citizen engagement and inclusive participation

Activities

- Organize orientation on citizen engagement to TLOs, CAC, CBOs' members in selected LGs
- Prepare and disseminate jingle on GESI, participatory planning, good governance etc.

Milestone

- xx TLOs, CAC, CBOs members trained on citizen engagement
- Jingle on GESI transmitted from xx media

Output 12: Innovative Partnership Fund (IPF) is operational and transparently supporting LGs

Activities

- Mobilize Innovative Partnership Fund (IPF) at local level to implement innovative schemes

Milestone

- Position paper and Operating guidelines on IPF developed. Projects/programme from LGs/PGs identified after the guideline is prepared and approved

Output 12: Innovative Partnership Fund (IPF) is operational and transparently supporting LGs

Updates on Innovative Partnership Fund (IPF)		
Activities	Milestones	Remarks
Finalize TOR	•12 June 2020	
RFP to procure service for guideline preparation	•15 June 2020	
Selection of Service Provider	•31 July 2020	
First draft of the guideline	•4 rd week August	
Final guidelines	•15 September	
Approval of guidelines	•November NEC meeting	
Start up process as per the approved guideline	•November 2020	

Output 13: Elected representatives and civil servants at the provincial level are incentivized and trained for delivering high quality services

Activities

- Train/orient elected representatives and staff of PGs.
- Support capacity building initiative through exposure cum knowledge sharing visit including roster management
- Support capacity building initiative through Training/workshop and different event management programme (including MToT on LISA/GESI/Capacity

Milestone

- Trained 4000 elected representatives and staff of PG on various thematic areas
- Oriented 75 specialists/experts and 16 support staff on federalism, governance and their role/responsibilities in PLGSP
- Trained 100 Master trainers and 1950 official and elected representatives (IT officers, executive officers, Mayors and Deputy mayors of local provincial and federal level) on different areas of capacity development

Output 14: LGs' elected representatives and civil servants are empowered and trained for delivering high quality services.

Activities

- **Train/orient elected representatives and staff of LGs**
- **Organize workshop on federal issues in coordination with LG Associations**

Milestone

- **Trained 20000 elected representatives and staff of LGs on various thematic topics including GESI.**
- **Organized workshop with LG associations organizations and disseminated proceedings on federal issues**

Quality Assurance (learning, documentation and M&E)

- PPIUs/PCGGs will provide technical support to local governments in implementation of local level activities by providing facilitators, short term consultants, and coaching & mentoring experts on demand basis.
- AMPEP, Provincial AMEP will be implemented with through orientation to the staff and track the progress with the quality
- Baseline study and third party monitoring will be used to assess the current gaps and ways forwards to improve
- Documentation of success cases/communication

Scope of the Technical Assistance

- Provisioning of human resources
- Supply of consultancy services
- Procurement of equipment and vehicles
- Maintenance of roster

Risks and Mitigation Measures

- Following three risk have been added related to the COVID-19 crisis.
 - Firstly, there is a risk that the pandemic will continue till next year, with high impact on Programme implementation. The Programme will regularly monitor the context at the local level and review it at broader level in September 2020 to explore alternative mechanisms to achieve the results.
 - Secondly, there is risk of PLGs having low internal resource with medium impact on the Programme. The Programme will focus on improving the efficiency of the PLGs on resource mobilization initiatives.
 - Thirdly, there is risk of PLGs having low HR capacity with medium impact on the programme. Programme will focus on applying broadened capacity development strategies to improve the efficiency

ASIP Budget – 2020/21 (in Million)

	GON	JFA	Total	% of Total
PCU	16,814	369,278	386,092	12.04
FCFO	48	192,860	192,908	6.02
PPIU	120	1,228,940	1,229,060	38.34
PCGG	7,700	733,950	741,650	23.13
PCGG/LG	19,218	637,072	656,290	20.47
Total	43,900	3,162,100	3,206,000	100.00



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THANK YOU

10 JUNE 2020

